Mote Wealth Management, LLC

*Fee-Only Planning for Your Life Changes℠*

# Firm Overview & Comparison

| Qualifications / Services | Mote Wealth Management, LLC www.motewealth.com | Your Current or Other Firm (Yes or No?) |
|---|---|---|
| **CFP® Certified Financial Planner™ Practitioners**<br>Certification recognized as the standard of excellence for competent and ethical personal financial planning.<br>www.CFP.net | **Yes** | |
| **Fee - Only**<br>No commissions; no products sold; fees clearly identified.<br>www.fee-only.org | **Yes** | |
| **Fiduciaries**<br>Required to act with undivided loyalty to you as a client, on your behalf and for your benefit always; put your interests first, before the firm's or their own as individuals.<br>www.focusonfiduciary.org | **Yes** | |
| **NAPFA Registered Financial Advisors**<br>NAPFA (National Association of Personal Financial Advisors) is the nation's leading organization of Fee-Only comprehensive financial planning professionals.<br>www.NAPFA.org | **Yes** | |
| **FPA Members**<br>The Financial Planning Association (FPA®) is the largest U.S. membership organization for personal financial planning.<br>www.FPANet.org | **Yes** | |
| **Registered Investment Advisor (RIA)**<br>Providing you independent financial advice without a financial product sales focus.<br>www.sec.gov | **Yes** | |
| **Comprehensive Financial Planning**<br>Broad-scope and in-depth analysis and recommendations for investments, retirement and educational funding, tax planning, risk management and estate planning. | **Yes** | |
| **Continuing Education**<br>Required by 30 hours 60 hours of continuing education spread across a broad range of subjects, including ethics of financial planning. | **Yes** | |