



Firm Overview & Comparison

Qualifications / Services	Mote Wealth Management, LLC www.motewealth.com	Your Current or Other Firm (Yes or No?)
<u>CFP[®] Certified Financial Planner[™] Practitioners</u> Certification recognized as the standard of excellence for competent and ethical personal financial planners. www.CFP.net	Yes	
<u>Fee - Only</u> No commissions. No products sold. Fees clearly identified. www.fee-only.org	Yes	
<u>Fiduciaries</u> A Fiduciary acts on behalf of and for the benefit of the client, always putting client interests first. www.focusonfiduciary.org	Yes	
<u>NAPFA Registered Financial Advisors</u> National Association of Personal Financial Advisors (NAPFA [®]) is the leading organization of Fee-Only comprehensive financial advisors. Current and former members of NAPFA Midwest and Genesis boards of directors. www.NAPFA.org	Yes	
<u>FPA Members</u> The Financial Planning Association (FPA [®]) is the largest U.S. membership organization for personal financial planning. www.FPANet.org	Yes	
<u>Registered Investment Adviser (RIA)</u> Independent financial advice instead of financial product sales. Registered Representatives sell products. www.sec.gov	Yes	
<u>Comprehensive Financial Planning</u> Broad-scope, in-depth analysis and recommendations for investments, retirement, educational funding, tax planning, risk management, estate planning and other life issues.	Yes	
<u>Continuing Education</u> NAPFA requires 60 hours of continuing education biennially across a range of subjects including ethics. CFP [®] Board mandates only 30 hours every two years.	Yes	